

# Donor Halogenation Effects on Electronic Structures and Electron Process Rates of Donor/ $C_{60}$ Heterojunction Interface: A Theoretical Study on $F_nZnPc$ ( $n = 0, 4, 8, 16$ ) and $Cl_nSubPc$ ( $n = 0, 6$ )

Rui-Rong Bai,<sup>†,‡</sup> Cai-Rong Zhang,<sup>\*,†,‡,§</sup> You-Zhi Wu,<sup>†</sup> Yu-Lin Shen,<sup>||</sup> Zi-Jiang Liu,<sup>⊥</sup> and Hong-Shan Chen<sup>§</sup>

<sup>†</sup>State Key Laboratory of Advanced Processing and Recycling of Non-ferrous Metals and <sup>‡</sup>Department of Applied Physics, Lanzhou University of Technology, Lanzhou, Gansu 730050, China

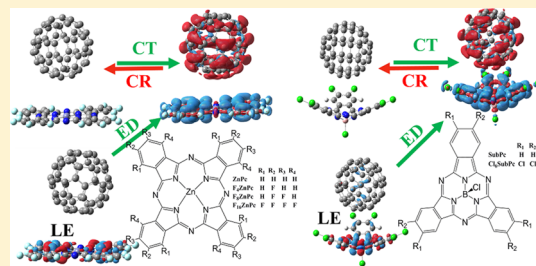
<sup>§</sup>College of Physics and Electronic Engineering, Northwest Normal University, Lanzhou, Gansu 730070, China

<sup>||</sup>Gansu Computing Center, Lanzhou, Gansu 730000, China

<sup>⊥</sup>Department of Physics, Lanzhou City University, Lanzhou, Gansu 730070, China

## S Supporting Information

**ABSTRACT:** Molecular engineering is significantly important for developing electron donor and acceptor materials of active layers in organic photovoltaics (OPVs). The OPVs based on halogenated donors frequently produced high power conversion efficiencies. Here, based upon density functional theory calculations with optimally tuned range separation parameters and solid polarization effects, we studied the effects of donor halogenation on molecular geometries, electronic structures, excitation, and spectroscopic properties for  $F_nZnPc$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc$  ( $n = 0, 6$ ) monomers and the complexes with  $C_{60}$  as well as the photoinduced direct charge transfer (CT), exciton dissociation (ED), and charge recombination (CR) processes that were described by rate constants calculated using Marcus theory. The tiny differences of the molecular orbital energy gap, excitation, and spectroscopic properties of  $F_nZnPc$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc$  ( $n = 0, 6$ ) monomers suggest that halogenation cannot effectively tune the electronic and optical gap but the significant decrease of molecular orbital energies support the idea that halogenation has a remarkable influence on the energy level alignment at heterojunction interfaces. The halogenation also enhances intermolecular binding energies between  $C_{60}$  and donors and increases the CT excitation energies of donor/ $C_{60}$  complexes, which are favorable for improving open circuit voltage. Furthermore, for  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $SubPc/C_{60}$  ( $n = 0, 6$ ) complexes, the CR rates dramatically decrease (several orders) with increasing number of halogen atoms (except for  $F_{16}ZnPc/C_{60}$ ), meaning suppression of CR processes by halogenation. As for the special case of  $F_{16}ZnPc/C_{60}$ , it underlines the importance of fluorination degree in molecular design of donor materials. This study provides a theoretical understanding of the halogenation effects of donors in OPVs and may be helpful in molecular design for electron donor materials.



## 1. INTRODUCTION

As emerging photovoltaic technology and equipment, organic photovoltaics are promising due to their low cost, flexibility, light weight, and other merits. A power conversion efficiency (PCE) of 17.3% was achieved by a two-terminal monolithic solution-processed tandem OPV with PBDB-T/F-M and PTB7-Th:O6T-4F/PC<sub>71</sub>BM as active layers.<sup>1</sup> Design and synthesis of novel materials, optimizing processing conditions and device architectures, are effective routes to improve the PCE of OPVs.<sup>2</sup> Qualitatively, the photon-to-electricity conversion processes in OPVs include photon absorption and exciton generation, exciton diffusion and dissociation, charge separation and free charge carrier generation, and charge transport and collection at the electrode.<sup>3</sup> Most of the electron processes involved in photon-to-electricity conversion occur in the active layer, which contains a heterojunction

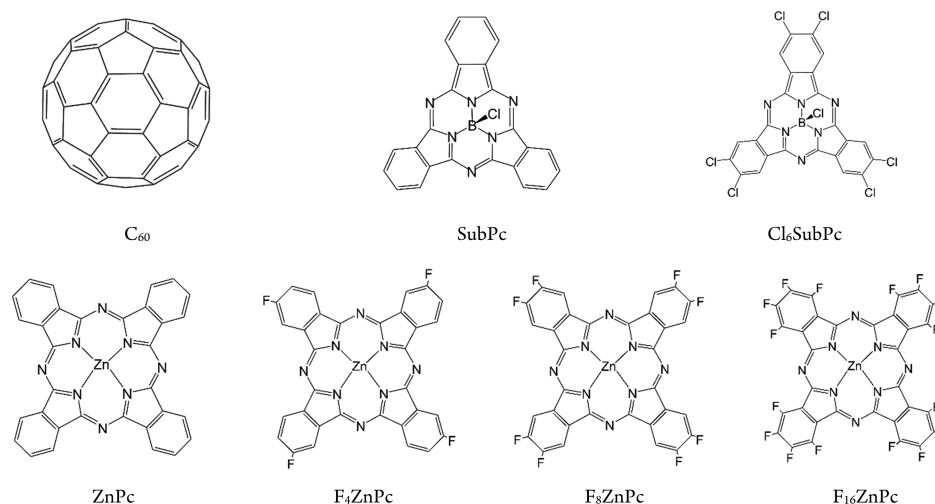
composed of electron donor and acceptor materials.<sup>4,5</sup> Hence, developing novel materials for the active layer in OPVs plays a critical role in PCE improvement.

Molecular engineering is significantly important for developing electron donor and acceptor materials of organic heterojunctions in OPV active layers<sup>6,7</sup> because molecular structures can effectively tune electronic structures, light-harvesting abilities, exciton dynamics, interface morphologies, charge transfer and transport properties, and so on. For instance, Bao et al. reported a series of OPVs, which adopted the substituted PTCDI polymer as the acceptor and

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**Figure 1.** Molecular structures of electron acceptor  $C_{60}$  and the electron donors that include boron subphthalocyanine chloride (SubPc), zinc phthalocyanine (ZnPc), and their halogenated derivatives.

polystyrenes with different side chains as donors, that reached PCEs from 1.67 to 4.21%.<sup>8</sup>

Side-chain engineering and backbone repeating-unit modification and substitution are commonly applied strategies of molecular design for electron donor and acceptor materials in OPVs. Among these strategies, halogenation of substituents was demonstrated as an effective method for improving PCE. Experimentally, Ji and co-workers studied the OPVs based on  $PC_{71}BM$  as the acceptor and the halogenated (fluorinated, chlorinated, and brominated) small-molecule donors BDTTS-F-R, BDTTS-Cl-R, and BDTTS-Br-R, reaching PCEs of 9.37, 10.78, and 8.55%, respectively.<sup>9</sup> Zhang et al. investigated the OPVs fabricated using halogenated (fluorinated and chlorinated) small-molecule donors (PBDB-T-2F and PBDB-T-2Cl) and acceptors (IT-4F and IT-4Cl), and the PBDB-T-2Cl/IT-4F heterojunction OPV achieved a PCE up to 14.29%.<sup>10</sup> The PCEs of OPVs based on PBDB-T-2F (fluorinated)/IT-4F and PBDB-T-2Cl(chlorinated)/IT-4F were reported to be as high as 13.2 and 14.4%, respectively,<sup>11</sup> demonstrating that high-performance OPV can be designed by introducing chlorine into the donor's appropriate substituent positions. Chen et al. synthesized a chlorine-substituted new donor PBT4T-Cl, which generated a PCE of 11.18% with the OPV where the active layer constituted of  $PC_{71}BM$ ,<sup>12</sup> and the performance and stability were greatly improved compared to that of the unsubstituted. Liu et al. synthesized another chlorine-substituted donor, coded as J52-2Cl, and the PCE of the OPV combined with the acceptor ITIC reached 11.5%, which was more than three times the unsubstituted donor.<sup>13</sup> Theoretically, Zhao et al. used the Marcus theory to explore the electron transfer properties of model complexes for the  $PC_{71}BM/BTCITT$  (chlorinated benzothiadiazole connected with two thiophene rings) heterojunction, and the exciton dissociation (ED) and charge recombination (CR) rates were reported to be on the order of  $10^{12}$ – $10^{15}$  and  $10^5$ – $10^8$   $s^{-1}$ , respectively.<sup>14</sup> Brédas et al. studied the aggregation on solid-state packing and electronic properties of two donor materials (PBT4T-2OD and PffBT4T-2OD) and found that PffBT4T-2OD after fluorine substitution can maintain planarity during film formation, which is beneficial to maintain a longer interchain interaction and keep stacking order, and then is conducive to exciton dissociation and charge transport.<sup>15</sup> The

effectiveness of halogenation was attributed to two aspects. On the one hand, the halogenation of molecules can significantly reduce the molecular orbital energy levels and then increase open circuit voltage.<sup>16,17</sup> On the other hand, the halogenation enhances the inter/intra molecular interaction and improves crystallinity so that the halogenated polymers generally exhibit higher carrier mobility.<sup>18,19</sup>

Metal phthalocyanine and boron subphthalocyanine are important electron donor materials in the fields of OPVs. Schwarze et al. studied the band structures of zinc phthalocyanine and its halogenated derivatives ( $F_4ZnPc$ ,  $F_8ZnPc$ ,  $F_{16}ZnPc$ ) as well as boron subphthalocyanine (SubPc) and its halogenated derivative ( $Cl_6SubPc$ ) and demonstrated that the optical gap and open circuit voltage can be continuously tuned by the mixing ratio of these donors with  $C_{60}$  as the acceptor.<sup>20</sup> The fluorination effects of  $F_nZnPc$  ( $n = 0, 4, 8, 16$ ) on monomer properties and photovoltaic performance of the bilayer OPV with  $C_{60}$  was experimentally investigated by Brendel et al.<sup>21</sup> They found that the open circuit voltage increased with the increasing number of F atoms except for  $F_{16}ZnPc/C_{60}$ . The fluorination-induced increase of open circuit voltage and PCE was also confirmed by a comparative study of OPV-based  $F_4ZnPc/C_{60}$  and  $ZnPc/C_{60}$  heterojunctions.<sup>22</sup> The OPV-based SubPc/ $C_{60}$  heterojunction was experimentally studied, corresponding to an open circuit voltage of  $\sim 1$  eV and PCE of 2.1–3.7%.<sup>23,24</sup> The dependence of charge transfer rates on computational methods and interface geometries was discussed for SubPc/ $C_{60}$  and phthalocyanine/ $C_{60}$  complexes.<sup>25–28</sup>

The electron processes in the heterojunction interface between donor and acceptor materials of active layers, including charge transfer (CT), ED, CR, and others, can significant impact photocurrent generation in OPVs, which in turn affects the PCE.<sup>29,30</sup> The high CT/ED efficiency and the slow CR rate are expected in order to improve PCE.

However, details of the halogenation effects on electronic structures, photophysical properties, and the kinetics of electron processes in the donor/acceptor heterojunction interface are not systematically studied yet. Hence, in this work, we selected  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) as model systems to investigate the halogenation effects of the donor on the electronic structures and excitation

properties for donor monomers and donor/ $C_{60}$  complexes as well as on the rate constants of CT, ED, and CR for donor/ $C_{60}$ . The corresponding molecular structures are given in Figure 1. Because the face-on configuration of the ZnPc/ $C_{60}$  heterojunction generated higher open circuit voltage and PCE<sup>31</sup> and the energy of the face-on configuration for the  $H_2Pc/C_{60}$  heterojunction is lower than that of the edge-on configuration,<sup>32</sup> the face-on and on-top configurations for  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes were constructed as models for heterojunction interfaces, respectively. The other molecular configurations at the interface were not further considered since this work focuses on the halogenation effects rather than the dependence of interface properties on molecular configurations. Density functional theory (DFT) and time-dependent DFT (TDDFT) were applied to study the ground state and excitation properties of donor monomers and donor/ $C_{60}$  complexes. The rates of CT, ED, and CR processes were quantitatively explored by using Marcus theory.<sup>33</sup>

## 2. COMPUTATIONAL METHODS

The ground-state geometrical structures of ZnPc,  $F_4ZnPc$ ,  $F_8ZnPc$ ,  $F_{16}ZnPc$ , SubPc,  $Cl_6SubPc$ , and  $C_{60}$  molecules were optimized in the gas phase using density functional theory (DFT) with 6-311G(d,p)<sup>34</sup> basis sets. Due to the existence of non-bond interactions between donor and acceptor molecules in the organic heterojunction, the DFT functional should include dispersion correction. On the other hand, the conventional DFT functional cannot describe the CT nature accurately because of the improper description of long-range asymptotic behavior of  $1/r$  (the operator of electron–electron interaction) and many-electron self-interaction error. Hence, the  $\omega B97XD$ <sup>35</sup> functional was selected in this work since it is a long-range corrected functional including dispersion correction. Then, based on the optimized structures in the gas phase, the geometries in the solid phase were further optimized using the same functional and basis sets. The ZnPc/ $C_{60}$ ,  $F_4ZnPc/C_{60}$ ,  $F_8ZnPc/C_{60}$ ,  $F_{16}ZnPc/C_{60}$ , SubPc/ $C_{60}$ , and  $Cl_6SubPc/C_{60}$  complexes were constructed with the optimized monomers in the solid phase. The solid environment was simulated by using a self-consistent reaction field (SCRf) method with the solute electron density (SMD) model<sup>36</sup> in which the static dielectric constant ( $\epsilon_s$ ) and the dynamic dielectric constant ( $\epsilon_d$ ) are required to describe polarization effects of the solid environment. The  $\epsilon_s$  and  $\epsilon_d$  of ZnPc are 5.0<sup>37</sup> and 2.9,<sup>37,38</sup> respectively, and these values are applied to approximate for the fluorinated derivatives of ZnPc. The  $\epsilon_s$  and  $\epsilon_d$  of SubPc are 3.9<sup>39</sup> and 2.1,<sup>40</sup> respectively, and the same values were applied for the chlorinated derivative  $Cl_6SubPc$ . For  $C_{60}$ , the  $\epsilon_s$  is 5.0,<sup>41</sup> and the  $\epsilon_d$  is 3.3 where the  $\epsilon_d$  (3.3) is approximated as the square of the  $C_{60}$  refractive index 1.813.<sup>42,45</sup> Considering the long-range isotropic polarization effect in the organic photovoltaic bulk heterojunction, the  $\epsilon_s$  and  $\epsilon_d$  of the monomers and complexes in the solid phase were adopted as the average values of the corresponding electron donors and  $C_{60}$ .

Compared to experimental results, the long-range corrected hybrid functionals in DFT with optimally tuned range-separation parameter  $\omega$  were successful in the excited-state calculations. Based upon Koopmans' theorem and the quantum chemistry calculation of the monomers in the solid phase combined with the reported methods,<sup>44,45</sup> the following equation was used to optimize  $\omega$

$$\begin{aligned} J_1(\omega) &= |\epsilon_{D(HOMO)}(\omega) + E_D^+(\omega) - E_D(\omega)| \\ &\quad + |\epsilon_{A(HOMO)}(\omega) + E_A^+(\omega) - E_A(\omega)| \\ J_2(\omega) &= |\epsilon_{D(HOMO)}(\omega) + E_D^+(\omega) - E_D(\omega)| \\ &\quad + |\epsilon_{A(HOMO)}^-(\omega) + E_A(\omega) - E_A^-(\omega)| \\ J(\omega) &= J_1(\omega) + J_2(\omega) \end{aligned} \quad (1)$$

where subscripts D and A represent donors and acceptors, respectively,  $\epsilon_{(HOMO)}(\omega)$  is the highest occupied molecular orbital (HOMO) energy of the neutral state at the given  $\omega$ , and  $\epsilon_{A(HOMO)}^-(\omega)$  is the HOMO energy of the acceptor anionic state;  $E(\omega)$  is the energy of the neutral state of the D (A) at the given  $\omega$  and  $E^+(\omega)$  and  $E^-(\omega)$  are the single-point energies of the cationic state and the anionic state, respectively.  $J_1(\omega)$  minimum represents the ionization potential (IP) optimization of  $\omega$  for the donor and acceptor, which can give a good description of the local excitation, while  $J_2(\omega)$  minimum corresponds to the IP tuning of  $\omega$  for the donor and the electronic affinity potential tuning (EA tuning) of  $\omega$  for the acceptor, which can describe charge transfer excitation well. Due to the existence of local and CT excitations in donor/ $C_{60}$  complexes, the optimally tuned  $\omega$  using  $J(\omega)$  minimum must be suitable for the calculations of both local and CT excitations. The optimally tuned  $\omega$  values are 0.002, 0.002, 0.001, 0.001, 0.004, and 0.004 Bohr<sup>-1</sup> for ZnPc/ $C_{60}$ ,  $F_{16}ZnPc/C_{60}$ ,  $F_4ZnPc/C_{60}$ ,  $F_8ZnPc/C_{60}$ , SubPc/ $C_{60}$ , and  $Cl_6SubPc/C_{60}$  complexes, respectively. The geometries of complexes in the solid phase were fully optimized using  $\omega B97XD/6-311G(d,p)$  with the optimally tuned  $\omega$ . The excitation properties of the monomers and the complexes in the solid phase were calculated using TDDFT methods at the level of  $\omega B97XD/6-311G(d,p)$  with the optimally tuned  $\omega$ . We also applied the optimally tuned  $\omega$  in the calculations of excitation, reorganization energies, free energy changes, and electron coupling.

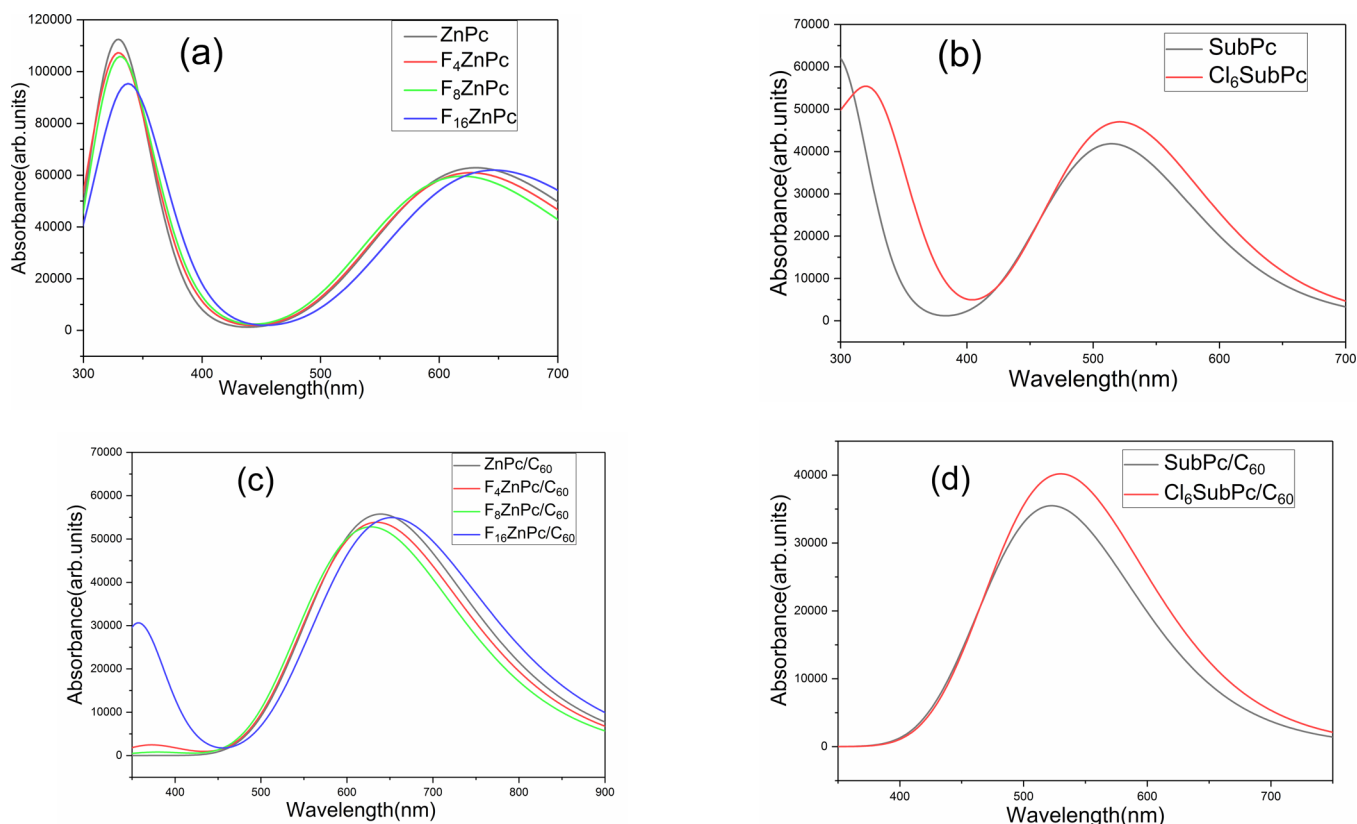
The quantum chemistry calculations based upon DFT and TDDFT were performed by using the Gaussian 09 package.<sup>46</sup> In addition, in order to characterize the excitation characters, Multiwfn was adopted to calculate the charge density difference (CDD) map, charge transfer distance, and the transferred charges of the complexes.<sup>47,48</sup>

The kinetics of electron processes CT, ED, and CR can be characterized using rate constants. The photoinduced direct CT process is  $DA \rightarrow D^+A^-$ , the ED is  $D^*A \rightarrow D^+A^-$  ( $D^*A$  is the exciton state localized in the donor, and the  $D^+$  and  $A^-$  ions in the final state correspond to the CT state), and the CR process is  $D^+A^- \rightarrow DA$ . The comparison of rate constants calculated using Marcus theory, Fermi's golden rule, and the second-order cumulant expansion of the time-dependent reduced density matrix pointed out that Marcus theory can provide qualitatively correct values of rates.<sup>49</sup> Hence, considering the motivation of this work, we applied Marcus theory to investigate the donor halogenation effects on electron processes rates of the donor/ $C_{60}$  heterojunction interface. In terms of Marcus theory,<sup>33</sup> the rate constants of CT, ED, and CR processes at room temperature ( $T = 300$  K) were calculated with the following formula

$$k = \sqrt{\frac{4\pi^3}{h^2\lambda k_B T}} |V_{DA}|^2 \exp\left(-\frac{(\Delta G + \lambda)^2}{4\lambda k_B T}\right) \quad (2)$$

**Table 1.** Lowest Singlet Excitation Energy  $E_{S1}$  (eV), HOMO Level (eV), LUMO Level (eV), HOMO–LUMO Gap ( $H-L_{\text{gap}}$ , eV), Energy Difference  $\Delta E_{T-S}$  (eV) between the Singlet Ground State  $S_0$  and the Triplet State  $T_1$  for the Monomers Calculated at the Given  $\omega$  Value (in Bohr $^{-1}$ ), and Static  $\epsilon_s$  and Dynamic  $\epsilon_d$  Dielectric Constants

molecule	$\omega$ , $\epsilon_s$ , $\epsilon_d$	$E_{S1}$	HOMO	LUMO	$H-L_{\text{gap}}$	$\Delta E_{T-S}$
ZnPc	0.002, 5, 3.1	1.9650	-4.9745	-2.6343	2.3402	1.228
F <sub>4</sub> ZnPc	0.001, 5, 3.1	1.9767	-5.1117	-2.7870	2.3247	1.221
F <sub>8</sub> ZnPc	0.001, 5, 3.1	2.0010	-5.2570	-2.9073	2.3497	1.237
F <sub>16</sub> ZnPc	0.002, 5, 3.1	1.9179	-5.4752	-3.1973	2.2779	1.213
SubPc	0.004, 4.45, 2.7	2.4082	-5.3593	-2.4368	2.9225	1.601
Cl <sub>6</sub> SubPc	0.004, 4.45, 2.7	2.3784	-5.7307	-2.8368	2.8939	1.600



**Figure 2.** Simulated absorption spectra of (a, b) the monomers and (c, d) complexes.

where  $\lambda$  is the reorganization energy,  $V_{DA}$  is the electron coupling between the initial and final states,  $k_B$  is the Boltzmann constant,  $h$  is Planck's constant, and  $\Delta G$  is the free energy change. The computational details of  $\lambda$ ,  $V_{DA}$ ,  $\Delta G$ , and rate constants were reported in our previous work.<sup>50</sup> The degeneracy of electronic donor and acceptor  $C_{60}$  was considered during the calculations of effective electronic coupling.

### 3. RESULTS AND DISCUSSION

**3.1. F<sub>n</sub>ZnPc ( $n = 0, 4, 8, 16$ ) and Cl<sub>n</sub>SubPc ( $n = 0, 6$ ) Properties.** The molecular structures of the monomers and complexes with the selected atomic serial numbers for labeling geometrical parameters are given in Figure S1 in the Supporting Information. The selected bond lengths (in Å) and bond angles and dihedral angles (in °) of the optimized F<sub>n</sub>ZnPc ( $n = 0, 4, 8, 16$ ) and Cl<sub>n</sub>SubPc ( $n = 0, 6$ ) molecules are listed in Table S1 in the Supporting Information. The dihedral angles of the optimized F<sub>n</sub>ZnPc ( $n = 0, 4, 8, 16$ ) molecules agree with their planar structures, which can facilitate electron

delocalization. The averaged C–F bond lengths are 1.347, 1.338, and 1.328 Å for F<sub>4</sub>ZnPc, F<sub>8</sub>ZnPc, and F<sub>16</sub>ZnPc, respectively. The reduction of C–F bond lengths indicates the enhancement of C–F bonds with the increasing number of F atoms in F<sub>n</sub>ZnPc ( $n = 0, 4, 8, 16$ ) molecules. Due to the local character of chemical bonds, the other geometrical parameters (bond lengths, bond angles, and dihedral angles; see Table S1 in the Supporting Information) are quite similar for F<sub>n</sub>ZnPc ( $n = 0, 4, 8, 16$ ) molecules. The optimized structures of Cl<sub>n</sub>SubPc ( $n = 0, 6$ ) molecules are like an inverted umbrella.

The B–Cl bond lengths are 1.881 and 1.872 Å for SubPc and Cl<sub>6</sub>SubPc, respectively. The averaged Cl–C bond length is 1.739 Å for Cl<sub>6</sub>SubPc. The other geometrical parameters of SubPc and Cl<sub>6</sub>SubPc are almost the same.

The molecular HOMO and LUMO energies can be applied to characterize redox properties. The HOMO, LUMO, and HOMO–LUMO gap for F<sub>n</sub>ZnPc ( $n = 0, 4, 8, 16$ ) and Cl<sub>n</sub>SubPc ( $n = 0, 6$ ) molecules are listed in Table 1. For F<sub>n</sub>ZnPc ( $n = 0, 4, 8, 16$ ), with the increasing number of F atoms, the HOMO and LUMO are stabilized because of strong F–C bonds. The HOMO–LUMO gap of F<sub>n</sub>ZnPc ( $n = 0, 4, 8,$

**Table 2. Electronic Transition Energies (eV), Excitation Wavelengths (nm), Corresponding Oscillator Strengths ( $f$ ), and Main Transition Configurations for  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}$  ( $n = 0, 6$ ) Molecules**

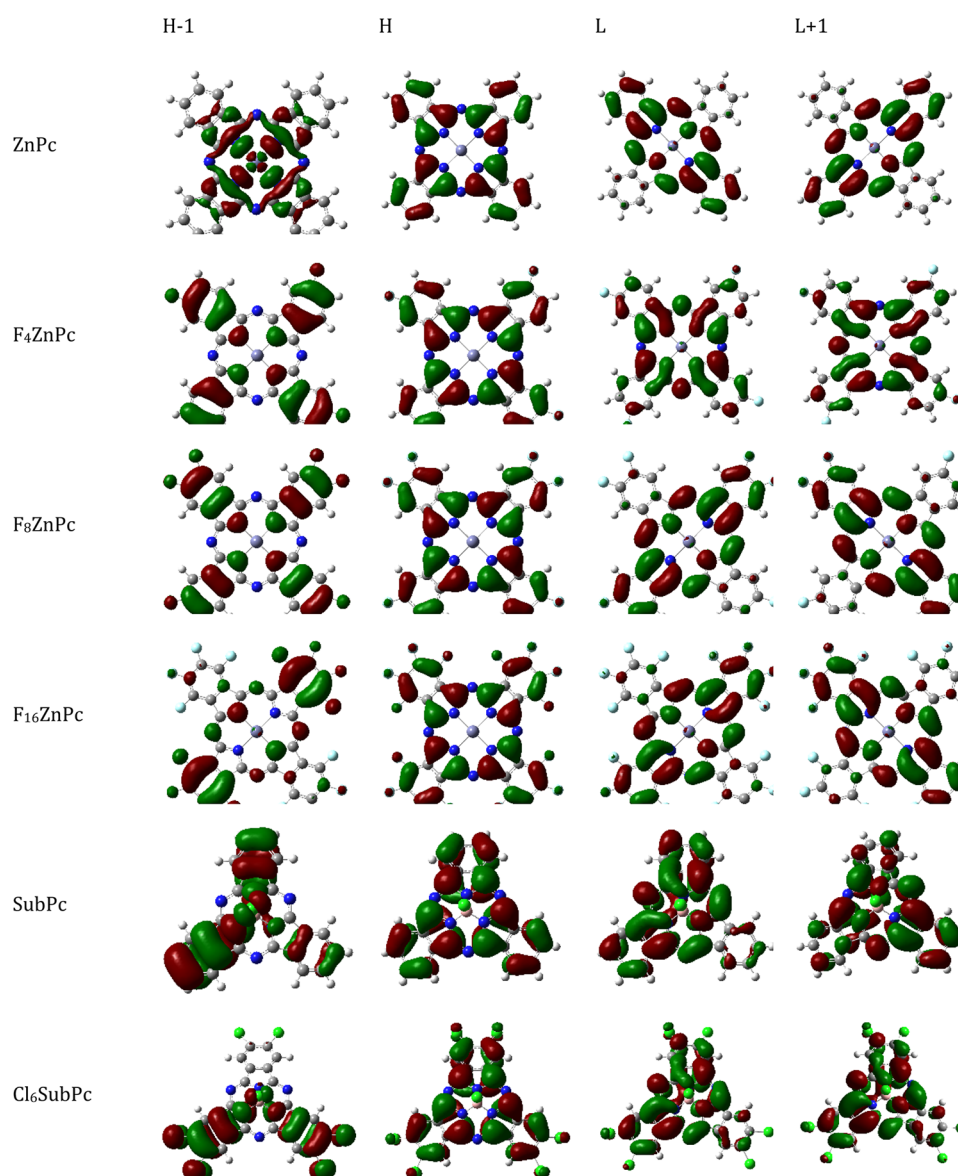
molecule	states	main transition configurations	$E$ (eV/nm)	$f$
ZnPc	S1	H $\rightarrow$ L (97.40%)	1.9650/630.97	0.7762
	S2	H $\rightarrow$ L + 1 (97.40%)	1.9650/630.97	0.7761
	S15	H - 6 $\rightarrow$ L (69.97%); H - 5 $\rightarrow$ L (18.49%)	3.7456/331.01	0.1826
	S16	H - 6 $\rightarrow$ L + 1 (69.98%); H - 5 $\rightarrow$ L + 1 (18.48%)	3.7456/331.01	0.1825
	S17	H - 5 $\rightarrow$ L (58.26%); H - 9 $\rightarrow$ L + 1 (24.67%)	3.7658/329.24	1.1458
	S18	H - 5 $\rightarrow$ L + 1 (58.26%); H - 9 $\rightarrow$ L (24.67%)	3.7658/329.24	1.1458
$F_4\text{ZnPc}$	S1	H $\rightarrow$ L (97.30%)	1.9767/627.22	0.7540
	S2	H $\rightarrow$ L + 1 (97.30%)	1.9768/627.21	0.7540
	S14	H - 5 $\rightarrow$ L (70.52%); H - 6 $\rightarrow$ L (18.77%)	3.6242/342.10	0.2820
	S15	H - 5 $\rightarrow$ L + 1 (70.52%); H - 6 $\rightarrow$ L + 1 (18.78%)	3.6242/342.10	0.2819
	S17	H - 6 $\rightarrow$ L (56.89%); H - 5 $\rightarrow$ L (20.03%)	3.7869/327.40	1.0377
	S18	H - 6 $\rightarrow$ L + 1 (56.89%); H - 5 $\rightarrow$ L + 1 (20.03%)	3.7869/327.40	1.0377
$F_8\text{ZnPc}$	S1	H $\rightarrow$ L (95.65%)	2.0010/619.60	0.7361
	S2	H $\rightarrow$ L + 1 (95.65%)	2.0010/619.60	0.7361
	S11	H - 4 $\rightarrow$ L (83.01%)	3.5243/351.80	0.3061
	S12	H - 4 $\rightarrow$ L + 1 (83.01%)	3.5243/351.80	0.3062
	S17	H - 6 $\rightarrow$ L (66.97%)	3.7832/327.72	1.0386
	S18	H - 6 $\rightarrow$ L + 1 (66.97%)	3.7832/327.72	1.0386
$F_{16}\text{ZnPc}$	S1	H $\rightarrow$ L (96.47%)	1.9179/646.45	0.7648
	S2	H $\rightarrow$ L + 1 (96.47%)	1.9179/646.45	0.7648
	S13	H - 3 $\rightarrow$ L (82.76%)	3.4981/354.43	0.3889
	S14	H - 3 $\rightarrow$ L + 1 (82.76%)	3.4981/354.43	0.3889
	S20	H - 8 $\rightarrow$ L (42.72%); H - 9 $\rightarrow$ L (47.55%)	3.6995/335.14	0.4072
	S21	H - 9 $\rightarrow$ L + 1 (47.55%); H - 8 $\rightarrow$ L + 1 (42.73%)	3.6995/335.14	0.4072
	S22	H - 8 $\rightarrow$ L (39.55%); H - 9 $\rightarrow$ L (26.64%); H - 11 $\rightarrow$ L + 1 (13.79%)	3.7251/332.84	0.4081
	S23	H - 8 $\rightarrow$ L + 1 (39.55%); H - 9 $\rightarrow$ L + 1 (26.64%); H - 11 $\rightarrow$ L (13.79%)	3.7251/332.84	0.4081
	S23	H - 8 $\rightarrow$ L + 1 (39.55%); H - 9 $\rightarrow$ L + 1 (26.64%); H - 11 $\rightarrow$ L (13.79%)	3.7251/332.84	0.4081
SubPc	S1	H $\rightarrow$ L (97.61%)	2.4082/514.84	0.5166
	S2	H $\rightarrow$ L + 1 (97.59%)	2.4104/514.38	0.5166
	S10	H - 3 $\rightarrow$ L (86.79%)	4.0957/302.72	0.4263
	S11	H - 3 $\rightarrow$ L + 1 (86.69%)	4.0978/302.56	0.4274
$\text{Cl}_6\text{SubPc}$	S1	H $\rightarrow$ L (97.33%)	2.3784/521.30	0.5806
	S2	H $\rightarrow$ L + 1 (97.32%)	2.3795/521.04	0.5803
	S7	H - 3 $\rightarrow$ L (88.16%)	3.7461/330.97	0.4820
	S8	H - 3 $\rightarrow$ L + 1 (87.89%)	3.7467/330.92	0.4839

16) molecules, ranging from 2.28 ( $F_{16}\text{ZnPc}$ ) to 2.35 eV ( $F_8\text{ZnPc}$ ), does not monotonically depend on the extent of fluorination. Furthermore, the experimental HOMO and LUMO offsets of  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) with respect to  $\text{C}_{60}$ <sup>21</sup> were well reproduced by this work. For  $\text{Cl}_n\text{SubPc}$  ( $n = 0, 6$ ), the chlorination stabilizes the HOMO and LUMO by  $\sim 0.37$  and  $0.40$  eV, respectively, generating a slight reduction of the HOMO–LUMO gap ( $\sim 0.03$  eV). This agrees well with experimental results (lowering of HOMO and LUMO by at least 0.3 eV), which were obtained using cyclic voltammetry and ultraviolet electronic absorption spectroscopy.<sup>51</sup> The stabilization of the HOMO and LUMO induced by fluorination and chlorination for  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}$  ( $n = 0, 6$ ) corresponds to the increase of IP and EA.

To explore the excitation properties, 30 and 15 singlet excited states were calculated for  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}$  ( $n = 0, 6$ ), respectively. The lowest singlet excitation energy  $E_{S1}$  and the energy difference  $\Delta E_{T-S}$  between the ground state  $S_0$  and the triplet state  $T_1$  for  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}$  ( $n = 0, 6$ ) molecules are summarized in Table 1. The  $\Delta E_{T-S}$  values, which were calculated using the  $\Delta\text{SCF}$  method based on the optimized ground state, can approximate the lowest triplet vertical excitation energy. The

ranges of  $E_{S1}$  and  $\Delta E_{T-S}$  for  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) are 1.918–2.001 eV and 1.213–1.237 eV, respectively. Among  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ),  $F_8\text{ZnPc}$  has the largest excitation energies  $E_{S1}$  and  $\Delta E_{T-S}$ , whereas  $F_{16}\text{ZnPc}$  has the smallest  $E_{S1}$  and  $\Delta E_{T-S}$  because of its HOMO–LUMO gap. As for  $\text{Cl}_n\text{SubPc}$  ( $n = 0, 6$ ), it can be found that introducing Cl reduces  $E_{S1}$  and  $\Delta E_{T-S}$  by  $\sim 0.03$  and  $< 0.01$  eV, respectively. Hence, the impact of halogenation on excitation energies ( $E_{S1}$  and  $\Delta E_{T-S}$ ) for  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}$  ( $n = 0, 6$ ) molecules is not remarkable as that on MO energies.

It was observed that the absorption profiles of  $F_n\text{ZnPc}$  ( $n = 0, 4, 8$ ) were very similar, while the absorption peaks of  $F_{16}\text{ZnPc}$  exhibited a tiny red shift, and the B-band absorption maximum of the  $F_n\text{ZnPc}$  film was  $\sim 3.65$  eV, whereas the Q band was split into two peaks at  $\sim 1.72$ – $1.79$  and  $1.89$ – $2.00$  eV.<sup>21</sup> The simulated absorption spectra are presented in Figure 2. The excitation energy ranges of  $F_n\text{ZnPc}$  ( $n = 0, 4, 8$ ) are 1.918–2.001 and 3.700–3.787 eV for the Q and B bands, respectively. Except the splitting of the Q band (the reasons will be described in the next paragraph), both the absorption profiles and maxima of experimental spectra were well reproduced by the simulated spectra. For example, the calculated absorption maxima of the  $F_{16}\text{ZnPc}$  Q band (1.91 eV) agree well with those of the experimental result (1.89 eV).<sup>21</sup> The absorption



**Figure 3.** Selected frontier molecular orbitals for the ZnPc, F<sub>4</sub>ZnPc, F<sub>8</sub>ZnPc, F<sub>16</sub>ZnPc, SubPc, and Cl<sub>6</sub>SubPc (H = HOMO, L = LUMO, ωB97XD/ 6-311G(d,p)).

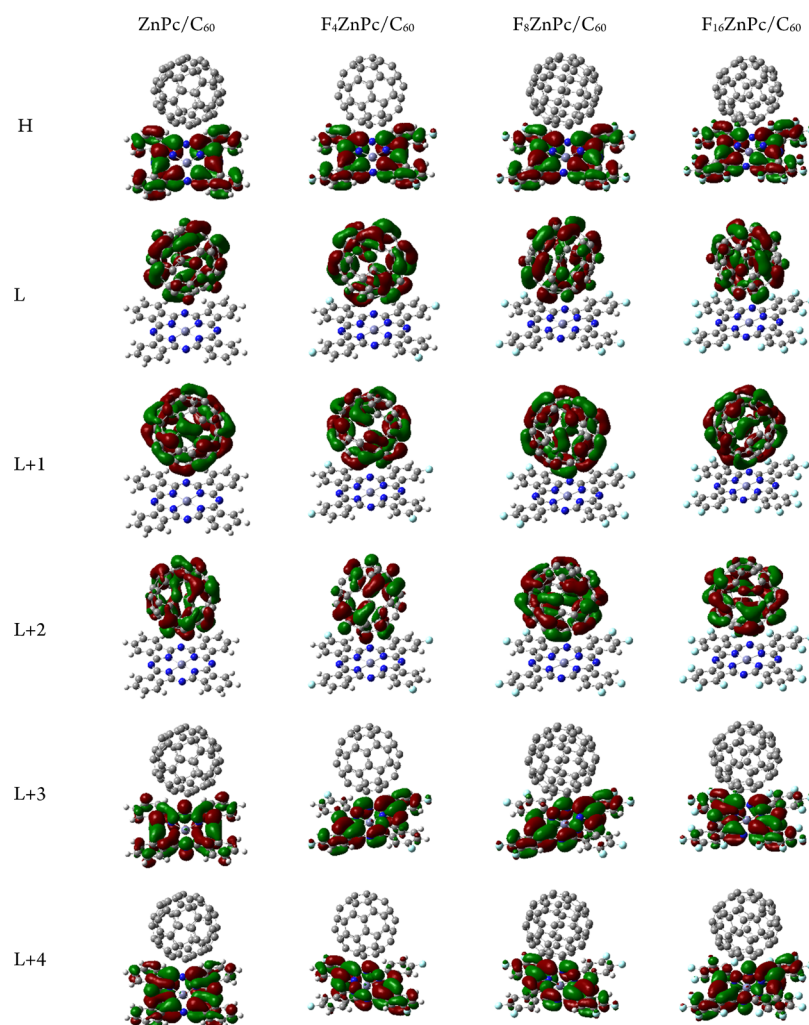
profile of Cl<sub>6</sub>SubPc is similar to that of SubPc.<sup>52</sup> The SubPc film was observed to have two absorption peaks at ~580 nm (2.12 eV) and 300 nm (4.08 eV).<sup>53</sup> These are close to the two absorption peaks of the Q band at 515 nm (2.41 eV) and the B band at 303 nm (4.10 eV), which we calculated in the solid phase. In terms of the absorption spectra of F<sub>*n*</sub>ZnPc (*n* = 0, 4, 8, 16) and Cl<sub>*n*</sub>SubPc (*n* = 0, 6), the halogenated effects can be found, that is, the absorption spectra of F<sub>16</sub>ZnPc exhibit a red shift relative to those of other F<sub>*n*</sub>ZnPc (*n* = 0, 4, 8) molecules, and the absorption spectra of Cl<sub>*n*</sub>SubPc (*n* = 0, 6) support the fact that the chlorination induced a red shift of absorption bands in the visible region. The red shift of absorption spectra is expected because it may enhance the light-harvesting abilities.

Table 2 lists the electronic transition energies, excitation wavelengths ( $\lambda > 300$  nm), and the corresponding oscillator strengths ( $f > 0.1$ ) as well as the main transition configurations. The selected molecular orbitals (MOs) are presented in Figure 3. More detailed data and MOs for excited

states are given in Table S2 and Figures S2 and S3 in the Supporting Information, respectively. Most of the excited states of F<sub>*n*</sub>ZnPc (*n* = 0, 4, 8) exhibit doublet degeneracy due to their symmetry. Similarly, most of the excited states of Cl<sub>*n*</sub>SubPc (*n* = 0, 6) also have doublet quasi-degeneracy. Intermolecular interactions of molecular packing in the solid phase can break the molecular symmetry, leading to elimination of symmetry and splitting of the Q band. Furthermore, the frontier MOs (see Figure 3 and Figures S2 and S3) of F<sub>*n*</sub>ZnPc (*n* = 4, 8, 16) and Cl<sub>6</sub>SubPc indicate that the introduced F and Cl atoms have different extents of contribution, meaning the effectiveness of fluorination and chlorination for tuning excitation properties because the introduced F and Cl atoms take part in excitation. Meanwhile, it should be noticed that for F<sub>*n*</sub>ZnPc (*n* = 0, 8, 16) and Cl<sub>6</sub>SubPc, the relocation of MOs involved in transition suggests that the excited states show a CT character, for instance, the S1 and S2 excited states of F<sub>*n*</sub>ZnPc (*n* = 0, 8, 16). Relative to local excitation, the intramolecular CT excitation

**Table 3.** HOMO Energies (eV), LUMO Energies (eV), HOMO–LUMO Gaps ( $H-L_{\text{gap}}$ , in eV), Lowest Singlet Excitation Energies  $E_{S1}$  (eV), Geometric Center Distances  $D_{D-A}$  (Å) between Donor and Acceptor of the Complexes, and Binding Energies  $E_b$  (eV) of the Complexes Formed by Donor and Acceptor

complex	HOMO	LUMO	$H-L_{\text{gap}}$	$E_{S1}$	$D_{D-A}$	$E_b$
ZnPc/ $C_{60}$	-4.9751	-3.2148	1.7603	1.3571	8.26	0.3828
$F_4\text{ZnPc}/C_{60}$	-5.1225	-3.2556	1.8670	1.4822	8.13	0.3941
$F_8\text{ZnPc}/C_{60}$	-5.2812	-3.2746	2.0066	1.6245	8.25	0.4029
$F_{16}\text{ZnPc}/C_{60}$	-5.5182	-3.2969	2.2213	1.8174	8.20	0.4505
SubPc/ $C_{60}$	-5.3620	-3.2270	2.1350	1.6767	7.93	0.3061
$Cl_6\text{SubPc}/C_{60}$	-5.7424	-3.2425	2.4999	2.0361	7.85	0.3567



**Figure 4.** Selected frontier molecular orbitals for the  $\text{ZnPc}/C_{60}$ ,  $F_4\text{ZnPc}/C_{60}$ ,  $F_8\text{ZnPc}/C_{60}$ , and  $F_{16}\text{ZnPc}/C_{60}$  complexes (H = HOMO, L = LUMO,  $\omega\text{B97XD}/6-311\text{G}(\text{d,p})$ ).

can reduce the exciton binding energy,<sup>54</sup> which is the energy barrier for the separation of the attracted electron–hole pairs. Thus, the intramolecular CT is advantageous to  $F_n\text{ZnPc}$  ( $n = 0, 8, 16$ ) and  $Cl_6\text{SubPc}$  for their ED process.

**3.2.  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_n\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) Properties.** The optimized geometries for face-on  $\text{ZnPc}/C_{60}$  and on-top  $\text{SubPc}/C_{60}$  complexes are presented in Figure S1 in the Supporting Information. The optimized geometries for face-on  $F_n\text{ZnPc}/C_{60}$  ( $n = 4, 8, 16$ ) and on-top  $Cl_6\text{SubPc}/C_{60}$  complexes are similar to those of  $\text{ZnPc}/C_{60}$  and  $\text{SubPc}/C_{60}$ , respectively. The selected geometric parameters are given in Table S3 in the Supporting Information. The comparison of corresponding geometric parameters in

monomers and the complexes indicates that due to the weak intermolecular interaction between the donor and  $C_{60}$ , the corresponding bond lengths are almost unchanged and the variations of the corresponding the bond angles and the dihedral angles are smaller than  $1^\circ$ . Hence,  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) samples maintain a quasi-planar structure in the complexes, and  $Cl_n\text{SubPc}$  ( $n = 0, 6$ ) samples retain their inverted umbrella structures. The distances between the  $C_{60}$  center and the Zn atom in  $F_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) range from 8.13 to 8.26 Å, and the distances between the  $C_{60}$  center and the center of  $Cl_n\text{SubPc}$  ( $n = 0, 6$ ) are about 7.85~7.93 Å. Generally, the halogenation induces the shorter intermolecular distance in these complexes. However, the distances between

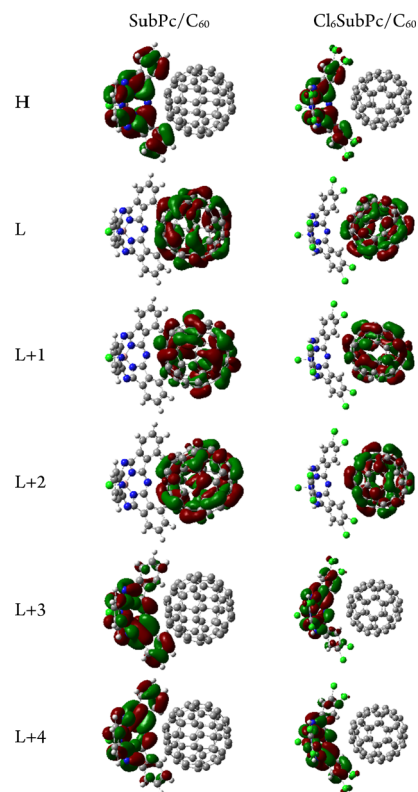
the  $C_{60}$  center and the Zn atom in  $F_nZnPc$  ( $n = 0, 4, 8, 16$ ) do not monotonically depend on the extent of fluorination. These distances are longer than the molecular center distance between pentacene and  $C_{60}$  in pentacene/ $C_{60}$  complexes with a face-on configuration.<sup>55</sup> The longer D–A distance in complexes lead to a reduction of effective electronic coupling.<sup>56</sup>

The binding energies  $E_b$ , which were calculated as the total energy differences between the complexes and the corresponding monomers, are about 0.4 eV (see Table 3) where the binding energy of  $ZnPc/C_{60}$  of 0.38 eV is consistent with the theoretically calculated value of 0.37 eV for  $CuPc/C_{60}$ .<sup>57</sup> The data of  $E_b$  also indicate that the halogenation enhances the non-bond interaction between the donor and  $C_{60}$  in these complexes, and this tendency is in line with halogenation effects on intermolecular distance in complexes. Furthermore,  $E_b$  increases with increasing extent of fluorination in  $F_nZnPc$  ( $n = 0, 4, 8, 16$ ). It is interesting that the order of  $E_b$  for  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) complexes is consistent with that of absolute values of the main component of an electric quadrupole tensor ( $xx$ ,  $yy$ , and  $zz$  components, the quantities are listed in Table S4 in the Supporting Information) for  $F_nZnPc$  ( $n = 0, 4, 8, 16$ ). It is the same for  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes. Considering the symmetry of  $C_{60}$ ,  $F_nZnPc$  ( $n = 0, 4, 8, 16$ ), and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ), the non-bond interaction between donor and  $C_{60}$  might be mainly determined by their electric quadrupole moment.

Table 3 also lists the HOMO and LUMO energies as well as HOMO–LUMO gaps of  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes. Because the LUMOs of complexes are localized in  $C_{60}$  and the HOMOs are mainly contributed by donors (see Figures 4 and 5), the fluorination of  $ZnPc$  and the chlorination of  $SubPc$  generate remarkable stabilization of the HOMO and a slight decrease of the LUMO for complexes, resulting in a broadening of the HOMO–LUMO gaps (from 1.76 to 2.22 eV for  $F_nZnPc$  ( $n = 0, 4, 8, 16$ ) and from 2.14 to 2.50 eV for  $Cl_nSubPc$  ( $n = 0, 6$ )). Certainly, due to the weak non-bond interaction between the donor and  $C_{60}$  in complexes, the HOMO variations of  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes are quite tiny relative to the HOMOs of  $F_nZnPc$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc$  ( $n = 0, 6$ ).

The simulated absorption spectra of  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes are also presented in Figure 2. Compared with the monomer absorption spectra, the complexes' absorption bands in the visible region exhibit a red shift by  $\sim 8$  nm. The halogenation effects on the complexes' absorption spectra are very similar to the monomers'. The  $\lambda_{max}$  at absorption maximum for  $F_nZnPc/C_{60}$  ( $n = 0, 4$ ) agrees well with that of experimental external quantum efficiency spectra for  $F_nZnPc(30\text{ nm})/C_{60}(35\text{ nm})$  bilayer solar cells.<sup>21</sup>

The lowest singlet excitation energies  $E_{S1}$  of  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes are collected in Table 3 in order to clearly expose halogenation effects. The fluorination of  $ZnPc$  and the chlorination of  $SubPc$  increase the  $E_{S1}$  of complexes. This is similar to that of chlorinated benzothiadiazole-based polymers as donors and  $PC_{71}BM$  as the acceptor.<sup>14</sup> The HOMO–LUMO gap order of  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes are the same as the order of the lowest singlet excitation energies  $E_{S1}$ , meaning the simple transition configuration of the S1 excited state.



**Figure 5.** Selected frontier molecular orbitals for the  $SubPc/C_{60}$  and  $Cl_6SubPc/C_{60}$  complexes (H = HOMO, L = LUMO,  $\omega B97XD/6-311G(d,p)$ ).

To understand the details of excited states, the main transition configurations, the excitation energies, wavelengths, and oscillator strengths of  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes are listed in Table 4 for the selected excited states, including CT excited states and local excited states in the donor. The related MOs are presented in Figures 4 and 5. The details of more excited states and MOs are listed in Tables S5 and S6 and Figures S4 and S5 in the Supporting Information. It can be found that the excitation energy differences for many excited states are very small, suggesting quasi-degenerate excitations, which resulted from symmetry of the donor and acceptor. The HOMO, LUMO + 3, and LUMO + 4 of  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes are mainly contributed by electron donor moieties, while the other MOs are localized in  $C_{60}$ . Hence, the S1–S3 of  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) complexes are quasi-degenerate “dark” CT states, S4 and S5 are quasi-degenerate “bright” local excited states in donor moieties, S6–S20 are local excited states in  $C_{60}$ , and S31–S33 are quasi-degenerate CT states with higher energies. The MO characters determine the similar excitation properties of  $F_nZnPc/C_{60}$  ( $n = 0, 4, 8, 16$ ) complexes. The excitation properties of  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes are also similar. The S1–S3 of  $Cl_nSubPc/C_{60}$  ( $n = 0, 6$ ) complexes are quasi-degenerate CT states, S19 and S20 are quasi-degenerate local excited states in donor moieties, and S4–S18 are local excited states in  $C_{60}$ . From an energetic view, the higher energy of local excited states of electron donor permits it to decay to CT states at the donor–acceptor interface. The excitation characters (CT and local excitations) for the selected excitations can be further confirmed by the charge density



**Table 4.** Excitation Energies (Wavelengths), Corresponding Oscillator Strengths ( $f$ ), Excited State Characters (ESC), and Main Transition Configurations of the  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) Complexes

complex	states	main transition configurations	ESC	$E$ (eV/nm)	$f$
$\text{ZnPc}/C_{60}$	S1	H $\rightarrow$ L (90.08%)	CT	1.3571/913.61	0.0000
	S2	H $\rightarrow$ L + 2 (95.30%)	CT	1.3600/911.63	0.0000
	S3	H $\rightarrow$ L + 1 (86.66%)	CT	1.3726/903.29	0.0000
	S4	H $\rightarrow$ L + 3 (95.30%)	DLE	1.9388/639.48	0.6872
	S5	H $\rightarrow$ L + 4 (95.28%)	DLE	1.9402/639.03	0.6895
$F_4\text{ZnPc}/C_{60}$	S1	H $\rightarrow$ L (99.53%)	CT	1.4822/836.48	0.0000
	S2	H $\rightarrow$ L + 2 (79.36%); H $\rightarrow$ L + 1 (20.31%)	CT	1.4848/835.02	0.0000
	S3	H $\rightarrow$ L + 1 (79.46%); H $\rightarrow$ L + 2 (20.37%)	CT	1.4994/826.92	0.0000
	S4	H $\rightarrow$ L + 3 (96.88%)	DLE	1.9522/635.09	0.6669
	S5	H $\rightarrow$ L + 4 (96.84%)	DLE	1.9546/634.31	0.6629
$F_8\text{ZnPc}/C_{60}$	S1	H $\rightarrow$ L (99.28%)	CT	1.6245/763.19	0.0001
	S2	H $\rightarrow$ L + 1 (95.58%)	CT	1.6284/761.39	0.0000
	S3	H $\rightarrow$ L + 2 (95.46%)	CT	1.6453/753.56	0.0000
	S4	H $\rightarrow$ L + 3 (96.89%)	DLE	1.9740/628.10	0.6516
	S5	H $\rightarrow$ L + 4 (96.87%)	DLE	1.9751/627.72	0.6521
$F_{16}\text{ZnPc}/C_{60}$	S1	H $\rightarrow$ L (98.81%)	CT	1.8174/682.21	0.0018
	S2	H $\rightarrow$ L + 1 (99.07%)	CT	1.8202/681.17	0.0002
	S3	H $\rightarrow$ L + 2 (99.55%)	CT	1.8417/673.22	0.0001
	S4	H $\rightarrow$ L + 3 (96.40%)	DLE	1.9008/652.26	0.6784
	S5	H $\rightarrow$ L + 4 (96.59%)	DLE	1.9012/652.14	0.6763
$\text{SubPc}/C_{60}$	S1	H $\rightarrow$ L + 1 (96.90%)	CT	1.6767/739.44	0.0000
	S2	H $\rightarrow$ L + 2 (95.92%)	CT	1.6779/738.92	0.0000
	S3	H $\rightarrow$ L (97.58%)	CT	1.6896/733.81	0.0000
	S19	H $\rightarrow$ L + 3 (96.18%)	DLE	2.3714/522.84	0.4311
	S20	H $\rightarrow$ L + 4 (96.02%)	DLE	2.3735/522.37	0.4316
$\text{Cl}_6\text{SubPc}/C_{60}$	S1	H $\rightarrow$ L (91.39%)	CT	2.0361/608.92	0.0000
	S2	H $\rightarrow$ L + 1 (92.29%)	CT	2.0377/608.45	0.0000
	S3	H $\rightarrow$ L + 2 (95.97%)	CT	2.0565/602.88	0.0000
	S19	H $\rightarrow$ L + 3 (91.58%)	DLE	2.3402/529.81	0.4736
	S20	H $\rightarrow$ L + 4 (91.64%)	DLE	2.3423/529.32	0.4723

difference, which are presented in Figures 6 and 7 for  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) complexes, respectively.

To get a quantitative description of CT and local excitations, the transferred charges ( $\Delta q$ ) and CT distances ( $\Delta d$ ) (marked in Figures 6 and 7) of  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) complexes were calculated. The CT distances of S1–S3 states are very close to the distances between molecular centers. The transferred charges of S1–S3 states are almost unity, meaning the integer electron transfer, whereas the small transferred charges ( $<0.05$  e) and CT distances for S4 and S5 for  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) complexes and S19 and S20 for  $\text{Cl}_n\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) complexes provide confirmation of the local excitation in donor moieties. In addition, the charge transfer distances of these three states are  $\sim 8.1$ – $8.3$  Å, which are very close to the geometric center distance of the donor and  $C_{60}$ .

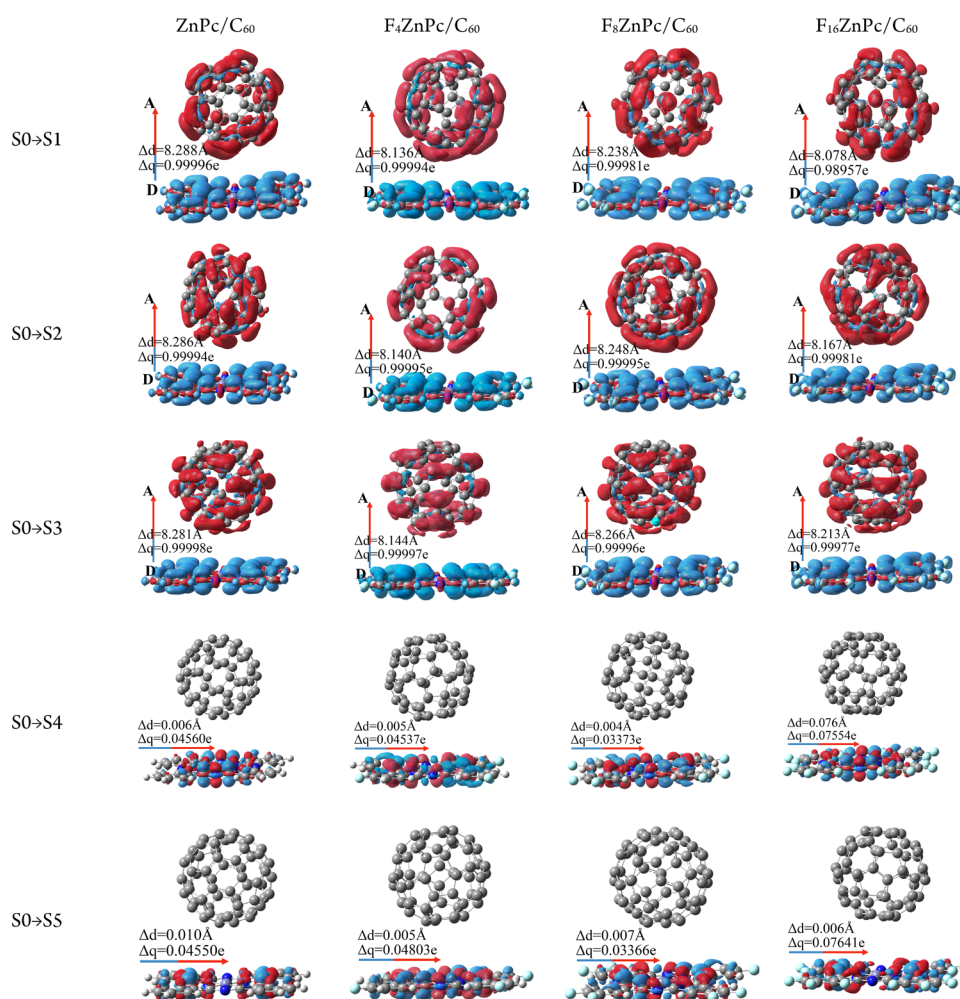
Compared with the experimental CT excitation energy of  $F_3\text{SubPc}/C_{60}$  complexes ( $\sim 1.7$  eV),<sup>58</sup> the calculated CT energies (see Table 4) of  $\text{Cl}_n\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) complexes are reasonable. Meanwhile, in terms of the empirical relationship between CT excitation energy and open-circuit voltage  $V_{\text{OC}}$ <sup>27</sup>

$$qV_{\text{OC}} = E(\text{CT}_1) - (0.6 \pm 0.1) \text{ eV} \quad (3)$$

the higher CT excitation energies are favorable for increasing  $V_{\text{OC}}$ . The  $V_{\text{OC}}$  deduced from CT excitation energy agrees well with that of experimental results, meaning the reliability of

calculated CT excitation energies. For instance, the CT energy of  $\text{SubPc}/C_{60}$  complexes (1.68 eV) generates a  $V_{\text{OC}}$  of  $\sim 1.08 \pm 0.1$  eV, agreeing well with the experimental  $V_{\text{OC}}$  (1.10 eV).<sup>51</sup> The data in Table 4 indicate that fluorination of  $\text{ZnPc}$  and chlorination of  $\text{SubPc}$  increase the CT excitation energies of  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) complexes. Hence, the fluorination of  $\text{ZnPc}$  and chlorination of  $\text{SubPc}$  can improve the  $V_{\text{OC}}$  for  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) heterojunctions because of the halogenation effects on CT excitation energies. In the experiment, the  $V_{\text{OC}}$  of  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8$ ) is also increased, but  $F_{16}\text{ZnPc}/C_{60}$  is decreased.<sup>21</sup> Due to the limitations of the empirical eq 3, the theoretically calculated uptrend results for  $F_{16}\text{ZnPc}/C_{60}$  do not match the experimental decline. This doubt can be understood from the ED rate discussed in Section 3.3.

**3.3. Rate Constants of Charge Transfer, Exciton Dissociation, and Charge Recombination.** The parameters required for calculating  $\Delta G$  are given in Table 5, and the calculated  $\Delta G$  values for CT, ED, and CR processes are listed in Table 6. It should be noted that for photoinduced direct CT processes, the incident photon energy must be absorbed, corresponding to the  $\text{DA} + h\nu \rightarrow \text{D}^+\text{A}^-$  process, and the  $\Delta G$  between the initial and final states should be taken into account for photon energy. The  $\Delta G$  values listed in Table 6 indicate that for  $F_n\text{ZnPc}/C_{60}$  ( $n = 4, 8, 16$ ) and  $\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) complexes,  $|\Delta G|$  is reduced with increasing halogenation degree during the CT process. The  $|\Delta G|$  of



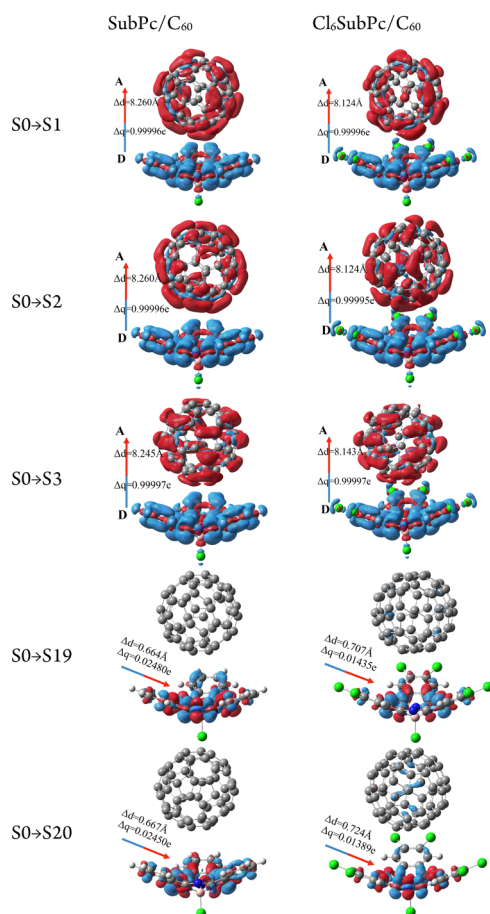
**Figure 6.** Charge density difference for the low-lying excited states of  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) complexes. The regions colored in red and blue indicate the increase and the decrease of electron density during excitation processes, respectively. The charge transfer distance and the amount of charge transfer are shown.

$\text{ZnPc}/C_{60}$  for the CT process is slightly smaller than that of  $F_4\text{ZnPc}/C_{60}$ . Meanwhile, with increasing number of F atoms in  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) and chlorination of  $\text{SubPc}/C_{60}$  ( $n = 0, 6$ ), the absolute values of  $|\Delta G|$  for ED and CR processes decrease and increase, respectively. The smallest  $|\Delta G|$  of ED for  $F_{16}\text{ZnPc}/C_{60}$  means the absence of enough driving force for ED. Hence, generally, halogenation reduces the absolute values of the  $\Delta G$  for the CT and ED processes and increases the  $\Delta G$  for the CR process. According to computational methods, this is because the halogenation effects on IPD (see Table 5) are more significant than those on local excitation energies and exciton binding energies.

The total reorganization energy ( $\lambda_{\text{total}}$ ) includes the internal and external reorganization energies. With an approximate external reorganization energy of 0.11 eV, the  $\lambda_{\text{total}}$  values are given in Table 6. The  $\lambda_{\text{total}}$  values of  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) in the CT and ED processes were 0.42–0.50 and 0.42–0.48 eV, respectively, and were not monotonically dependent on the degree of fluorination. The  $\lambda_{\text{total}}$  of complex  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) in the CR process is  $\sim 0.2$  eV less than those of the CT and ED processes, and the effect of halogenation is relatively obvious, which leads to increasing  $\lambda_{\text{total}}$  with the increase in  $n$ . The  $\lambda_{\text{total}}$  of the  $\text{Cl}_6\text{SubPc}/C_{60}$  complex is slightly larger than that of the  $\text{SubPc}/C_{60}$  complex in the three processes. Similar to those of  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ )

complexes, the  $\lambda_{\text{total}}$  values of CR for  $\text{Cl}_n\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) complexes are also  $\sim 0.2$  eV less than those of ED and CT processes. The  $\lambda_{\text{total}}$  of the  $F_{16}\text{ZnPc}/C_{60}$  complex for CT, ED, and CR processes is the largest among these complexes. The increasing  $\lambda_{\text{total}}$  by halogenation is also supported by the case of perfluorination of polyacenes.<sup>59,60</sup> The  $\lambda_{\text{total}}$  of  $F_8\text{ZnPc}/C_{60}$  is very close to that of  $\text{ZnPc}/C_{60}$ . It can be attributed to the dependence of reorganization energy on the fluorination position. The similar case is the fluorination of ITIC.<sup>61</sup> Furthermore, the largest  $\lambda_{\text{total}}$  values of  $F_{16}\text{ZnPc}/C_{60}$  and  $\text{Cl}_6\text{SubPc}/C_{60}$  complexes suggest that halogenation results in the significant variation of the potential energy surface for the donor's excited states and cation states relative to those for the ground states.

The electronic coupling  $V_{\text{DA}}$  values of the CT, ED, and CR processes are also listed in Table 6. The  $V_{\text{DA}}$  for the CT process is negatively correlated with the number of halogen atoms, decreasing from 2.00 to 1.33 meV for  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) complexes and from 2.16 to 1.24 meV for  $\text{SubPc}/C_{60}$  ( $n = 0, 6$ ) complexes. Due to the importance of  $V_{\text{DA}}$  in Marcus theory, the  $V_{\text{DA}}$  reduction leads to a negative impact on the interface CT rate and ultimately to a decrease in carrier generation efficiency. On the contrary, the  $V_{\text{DA}}$  for the ED processes increase with the increase in number of F atoms in  $F_n\text{ZnPc}/C_{60}$  ( $n = 0, 4, 8, 16$ ) complexes, but it decreases after



**Figure 7.** Charge density difference for the selected excited states of  $\text{Cl}_n\text{SubPc}/\text{C}_{60}$  ( $n = 0, 6$ ) complexes. The regions colored in red and blue indicate the increase and the decrease of electron density during excitation processes, respectively. The charge transfer distance and the amount of charge transfer are shown.

**Table 5.** Calculated Ionization Potentials of the Donors  $\text{IP}_D$ , Electron Affinities of the Acceptor  $\text{EA}_A$ , Fundamental Gaps Defined as the Difference between the Ionization Energy of Donor and the Electron Affinity of Acceptor ( $\text{IP}_D - \text{EA}_A$ ), First Adiabatic Excitation Energy of Donor  $E_{\text{S1A}}$  and Exciton Binding Energy  $E_{\text{exb}}$  (in eV)

complex	$\text{IP}_D$	$\text{EA}_A$	$\text{IP}_D - \text{EA}_A$	$E_{\text{S1A}}$	$E_{\text{exb}}$
$\text{ZnPc}/\text{C}_{60}$	4.9215	3.2942	1.6272	1.8284	0.4032
$\text{F}_4\text{ZnPc}/\text{C}_{60}$	5.0892	3.2941	1.7951	1.8141	0.3848
$\text{F}_8\text{ZnPc}/\text{C}_{60}$	5.2418	3.2941	1.9478	1.8945	0.3821
$\text{F}_{16}\text{ZnPc}/\text{C}_{60}$	5.4562	3.2942	2.1620	1.8072	0.4039
$\text{SubPc}/\text{C}_{60}$	5.3032	3.2686	2.0346	2.2315	0.4583
$\text{Cl}_6\text{SubPc}/\text{C}_{60}$	5.6768	3.2686	2.4082	2.2099	0.4638

chlorination of  $\text{SubPc}/\text{C}_{60}$  complexes, meaning that the  $V_{\text{DA}}$  for the ED processes can be enhanced by fluorination of  $\text{ZnPc}$  while it can be reduced by fluorination of  $\text{SubPc}$ . As for the CR process, the increase in number of halogen atoms enhances the  $V_{\text{DA}}$ , increasing from 0.7 to 25.34 meV for  $\text{F}_n\text{ZnPc}/\text{C}_{60}$  ( $n = 0, 4, 8, 16$ ) complexes and from 1.39 to 1.46 meV for  $\text{Cl}_n\text{SubPc}/\text{C}_{60}$  ( $n = 0, 6$ ) complexes. In terms of the generalized Mulliken–Hush (GMH) model for calculating  $V_{\text{DA}}$ ,<sup>62,63</sup> the increase of the first excitation energy and the transition dipole moment is the main reason for the  $V_{\text{DA}}$  enhancement. The

chlorination-induced increase of the optical band gap and the transition dipole moment was confirmed.<sup>14</sup>

For  $\text{F}_n\text{ZnPc}/\text{C}_{60}$  ( $n = 0, 4, 8$ ) and  $\text{SubPc}/\text{C}_{60}$  ( $n = 0, 6$ ) complexes, the photoinduced direct CT rates (in Table 6) decrease with the increase of halogenation degree. Since the relative differences of  $|\Delta G + \lambda|$  among the complexes are smaller than those of the  $V_{\text{DA}}$ , the reason for the CT rate decrease mainly depends on the sequential decline of the  $V_{\text{DA}}$ .

Similarly, the rates of CR (in Table 6) processes decrease with increasing number of halogen atoms (except for  $\text{F}_{16}\text{ZnPc}/\text{C}_{60}$ ).  $\text{F}_{16}\text{ZnPc}/\text{C}_{60}$  is so special that its CR rate is 4 orders greater than that of  $\text{F}_8\text{ZnPc}/\text{C}_{60}$ , mainly because the former's electronic coupling is about 5 times that of the latter. Although the  $|\Delta G + \lambda|$  of the  $\text{F}_8\text{ZnPc}/\text{C}_{60}$  complexes is smaller than that of  $\text{F}_{16}\text{ZnPc}/\text{C}_{60}$  complexes, the dominating role of  $|V_{\text{DA}}|$  results in a larger CR rate of  $\text{F}_{16}\text{ZnPc}/\text{C}_{60}$  complexes.

The ED process rates (in Table 6) increase with the increasing degree of halogenation for  $\text{F}_n\text{ZnPc}/\text{C}_{60}$  ( $n = 0, 4, 8$ ) complexes except for the  $\text{F}_{16}\text{ZnPc}/\text{C}_{60}$  complex. For the case of  $\text{F}_{16}\text{ZnPc}/\text{C}_{60}$  complexes, the absolute value of  $|\Delta G + \lambda|$  is relatively large, and the  $V_{\text{DA}}$  is at the same order as those of  $\text{F}_n\text{ZnPc}/\text{C}_{60}$  ( $n = 0, 4, 8$ ); thus, the dominant factor of  $|\Delta G + \lambda|$  leads to the slower ED rate than those of  $\text{F}_n\text{ZnPc}/\text{C}_{60}$  ( $n = 0, 4, 8$ ) complexes. Hence, the less efficient ED is one possible reason for the worse performance of  $\text{F}_{16}\text{ZnPc}/\text{C}_{60}$  bilayer OPV devices.<sup>21</sup>

For  $\text{Cl}_n\text{SubPc}/\text{C}_{60}$  ( $n = 0, 6$ ) complexes, the ED rate of  $\text{SubPc}/\text{C}_{60}$  is slightly larger, indicating the chlorination effect. The ED rate of  $1.44 \times 10^{10} \text{ s}^{-1}$  of  $\text{SubPc}/\text{C}_{60}$  in this work is slower than the experimental value ( $0.1 \text{ ps}^{-1}$ ) and theoretical value ( $2 \text{ ps}^{-1}$ ) based on a Fermi's golden rule approach.<sup>28</sup> Because the electron delocalization takes a positive role in the ED process,<sup>64</sup> the underestimation of the ED rate in this work can be improved by consideration of charge delocalization, which requires a more complex model and huge computational cost. On the other hand, the effect of halogenation on the dielectric constant was not considered, which may further enhance CT and ED rates and reduce the CR rate. This has been confirmed in a recent study where the fluorination of the acceptor leads to an increase in the dielectric constant of the donor/acceptor heterojunction, favoring the effective retardation of bimolecular recombination and the high charge dissociation rate and low geminate losses.<sup>65</sup> However, because the dielectric constants of organic optoelectronic materials are usually small (typical values are 3–5), the variation of dielectric constants induced by halogenation should not change excitation energies and other physical quantities remarkably.

## 4. CONCLUSIONS

Based upon quantum chemistry calculations of  $\omega\text{B97XD}/6\text{-311G(d,p)}$  with optimally tuned range separation parameters and solid polarization effects, we studied the effects of donor halogenation on molecular geometries, electronic structures, excitation, and spectroscopic properties for  $\text{F}_n\text{ZnPc}$  ( $n = 0, 4, 8, 16$ ) and  $\text{Cl}_n\text{SubPc}$  ( $n = 0, 6$ ) monomers and the complexes with  $\text{C}_{60}$ , which are selected as model systems, and the halogenation effects on photoinduced direct CT, ED, and CR process at the heterojunction interface were investigated by rate constants calculated using Marcus theory. The main conclusions are as follows:

**Table 6.** Calculated Reorganization Energies  $\lambda$  (eV), Free Energy Changes  $\Delta G$  (eV), Absolute Value of the Difference between Free Energy Change and Reorganization Energy  $|\Delta G + \lambda|$  (eV), Electron Coupling  $V_{DA}$  (meV), and Rate Constants  $k$  ( $s^{-1}$ ) of the Six Complexes for the Three Electron Transfer Processes (ETP including CT, ED, and CR)

complex	ETP	$\lambda_{total}$ ( $\lambda_{out} = 0.11$ )	$\Delta G$	$ \Delta G + \lambda $	$V_{DA}$	$k$
ZnPc/ $C_{60}$	CT	0.4429	-0.0703	0.3726	2.00	$4.88 \times 10^9$
	ED	0.4330	-0.6044	0.1713	1.48	$2.90 \times 10^{10}$
	CR	0.1968	-1.2240	1.0272	0.70	$6.27 \times 10^{-13}$
$F_4$ ZnPc/ $C_{60}$	CT	0.4184	-0.0764	0.3420	1.59	$4.40 \times 10^9$
	ED	0.4238	-0.4037	0.0201	2.54	$1.64 \times 10^{11}$
	CR	0.2065	-1.4104	1.2039	1.10	$1.68 \times 10^{-19}$
$F_8$ ZnPc/ $C_{60}$	CT	0.4299	-0.0622	0.3677	1.50	$2.76 \times 10^9$
	ED	0.4208	-0.3289	0.0919	3.55	$3.42 \times 10^{11}$
	CR	0.2074	-1.5657	1.3583	5.15	$4.96 \times 10^{-26}$
$F_{16}$ ZnPc/ $C_{60}$	CT	0.4969	-0.0170	0.4799	1.33	$4.78 \times 10^8$
	ED	0.4770	-0.0491	0.4279	4.17	$1.04 \times 10^{10}$
	CR	0.2666	-1.7581	1.4915	25.34	$2.20 \times 10^{-22}$
SubPc/ $C_{60}$	CT	0.4148	-0.1535	0.2613	2.16	$2.48 \times 10^{10}$
	ED	0.4233	-0.6552	0.2319	1.38	$1.44 \times 10^{10}$
	CR	0.2307	-1.5763	1.3456	1.39	$8.36 \times 10^{-23}$
$Cl_6$ SubPc/ $C_{60}$	CT	0.4316	-0.1422	0.2894	1.24	$6.02 \times 10^9$
	ED	0.4303	-0.2655	0.1648	0.98	$1.33 \times 10^{10}$
	CR	0.2392	-1.9444	1.7052	1.46	$7.92 \times 10^{-41}$

- i The very tiny differences of molecular geometries,  $H-L_{gap}$  excitation, and spectroscopic properties among the  $F_n$ ZnPc ( $n = 0, 4, 8, 16$ ) and  $Cl_n$ SubPc ( $n = 0, 6$ ) monomers point to the idea that these quantities are insensitive to halogenation, indicating that the electronic and optical gap cannot be effectively tuned by halogenation of  $F_n$ ZnPc ( $n = 0, 4, 8, 16$ ) and  $Cl_n$ SubPc ( $n = 0, 6$ ). However, halogenation causes a significant decrease in energies of the HOMO and LUMO, leading to a remarkable influence on the energy level alignment at heterojunction interfaces, which is crucial for ED from localized excitons generated within donor layers to CT states at heterojunction interfaces, and it may further impact the OPV's working principle. In terms of tiny LUMO difference between  $F_{16}$ ZnPc and  $C_{60}$ , it was suggested that  $F_{16}$ ZnPc/ $C_{60}$  OPVs behave as Schottky cells.<sup>21</sup> Therefore, the halogenation degree may lead to the different working principles of OPV devices.
- ii The halogenation enhances intermolecular binding energies between  $C_{60}$  and donors, and thus can improve the morphology regioregularity of donor/acceptor interfaces in OPV heterojunctions. Fluorination of ZnPc and chlorination of SubPc increase the CT excitation energies of  $F_n$ ZnPc/ $C_{60}$  ( $n = 0, 4, 8, 16$ ) and  $Cl_n$ SubPc/ $C_{60}$  ( $n = 0, 6$ ) complexes. Hence, the fluorination of ZnPc and chlorination of SubPc can improve the  $V_{OC}$  of OPVs.
- iii For  $F_n$ ZnPc/ $C_{60}$  ( $n = 0, 4, 8, 16$ ) and SubPc/ $C_{60}$  ( $n = 0, 6$ ) complexes, the photoinduced direct CT rates decrease with the increase of halogenation degree. The ED process rates increase with the increasing degree of halogenation for  $F_n$ ZnPc/ $C_{60}$  ( $n = 0, 4, 8$ ) complexes except for the  $F_{16}$ ZnPc/ $C_{60}$  complex. The chlorination of SubPc generates a slight decrease of the ED rate. The rates of the CR processes dramatically decrease (several orders) with increasing number of halogen atoms (except for  $F_{16}$ ZnPc/ $C_{60}$ ). Therefore, the reported PCE improvement induced by halogenation can be mainly ascribed to suppression of CR processes.
- iv For the special case of  $F_{16}$ ZnPc/ $C_{60}$ , the slower ED and CT rates and the relative faster CR rate explain its worse OPV performance. This underlines the importance of fluorination degree in molecular design of donor materials because the degree of fluorination affects the rates of CT, ED, and CR.

The results of this work could be helpful to understand the different photovoltaic performances of halogenated donor materials, which is favorable for molecular design of novel donor materials with the aid of halogenation.

## ■ ASSOCIATED CONTENT

### 📄 Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpca.9b01937.

Optimized geometric parameters of monomers and complexes and their excited states and molecular orbital maps and electric quadrupole moment of the monomers (PDF)

## ■ AUTHOR INFORMATION

### Corresponding Author

\*E-mail: zhcxry@lut.cn. Phone: +86 0931 2973780. Fax: +86 0931 2976040.

### Notes

The authors declare no competing financial interest.

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